



**Vanguard**<sup>®</sup>

**What's inside:**

- Irrevocable Stock or Bond Power Form
- Authorization to Deposit Stock and Bond Certificates
- Postage-paid envelope

## Stock and Bond Certificate Transfer Kit

*To transfer stocks or bonds for which you've been issued a certificate*

**If the certificates and your Vanguard Brokerage account are identically registered**, simply endorse the certificates exactly as registered and mail them to us at the address below.\* Be sure to write your Vanguard Brokerage account number on the front of the certificate in the upper right corner or provide the number in a letter of instruction.

**If the certificates and your Vanguard Brokerage account are NOT identically registered**, complete our Authorization to Deposit Stock and Bond Certificates form and follow the endorsement instructions on the form.\*

**If you've already sent us certificates and were notified that they were endorsed incorrectly**, complete our Irrevocable Stock or Bond Power Form to ensure the certificates are transferred.

### **Mailing instructions**

*Make a copy of your completed forms and/or certificates for your records. Then mail them by overnight delivery or by registered or certified mail (with return receipt requested) to:*

Vanguard Brokerage Services  
455 Devon Park Drive  
Wayne, PA 19087-1815

### **Questions?**

Call us at **800-992-8327**.

\*Important: If you'd prefer not to endorse the certificates, you can mail them to us along with a completed Irrevocable Stock or Bond Power Form. Please note that you'll need to complete a separate copy of the form for each security issuer.





# Irrevocable Stock or Bond Power Form

Effective November 2011

Use this form to authorize the deposit, transfer, or sale of stocks or bonds for which Vanguard Brokerage Services® has received certificates that are either unsigned or not signed correctly.

Complete a separate Irrevocable Stock or Bond Power Form for each CUSIP number, which is located on the front of each security certificate.

Stock or bond certificates without correct endorsement and/or required support documentation can't be held longer than 30 business days. If the correct endorsement and/or required supporting documentation aren't received by the end of 30 days, the certificates will be sent back to you.

To prevent delay or loss and to ensure that your certificates are deposited correctly, see **Mailing information** below.

Print in capital letters and use black ink.

**Questions?**  
**Call 800-992-8327.**  
If you need additional forms, go to [vanguard.com/serviceforms](http://vanguard.com/serviceforms).

## 1. Vanguard Brokerage account information

Enter the Vanguard Brokerage Services account number into which the certificate is to be deposited.

If any name on the certificate isn't identical to a name listed here, a Medallion signature guarantee is required in Section 3.

Vanguard Brokerage account number
Name of owner
Name of joint owner

## Mailing information

**Make a copy of your completed form and certificates for your records.**

To prevent delay or loss and to ensure that your certificates are deposited correctly, mail this form and your certificates or other required documentation (if applicable) by overnight delivery or by registered or certified mail (with return receipt requested) to:

Vanguard Brokerage Services  
455 Devon Park Drive  
Wayne, PA 19087-1815

## 2. Authorization to transfer registration

**Note:** For value received, the undersigned does (do) hereby sell, assign, and transfer to:

\_\_\_\_\_

**If endorsing stocks, provide the information requested below.**

\_\_\_\_\_ (total number) shares of the \_\_\_\_\_ (share class)

stock of \_\_\_\_\_ (company name) represented

by certificate numbers \_\_\_\_\_ inclusive, standing in the name of the undersigned on the books of said company.

**If endorsing bonds, provide the information requested below.**

\_\_\_\_\_ (total number) bonds of \_\_\_\_\_ (company name)

in the principal amount of \$ \_\_\_\_\_, represented by certificate number(s)

\_\_\_\_\_ inclusive, standing in the name of the undersigned on the books of said company.

The undersigned does hereby irrevocably constitute and appoint **Vanguard Brokerage Services** attorney to transfer the said stocks or bonds, as the case may be, on the books of said company, with full power of substitution in the premises. Vanguard Brokerage Services shall not at any time be responsible for providing the undersigned with information concerning cash or stock dividends, stock splits, corporate actions, or any other information, advice, guidance, or recommendation with respect to an order by the undersigned to purchase or sell securities.

## 3. Authorization of all persons listed on the certificates *Read carefully before signing.*

**Important:** Sign below exactly as the names are listed on the face of each stock or bond certificate. If a security is registered in the names of more than one owner, the signatures of all owners are required. If any name on a certificate isn't identical to a name in Section 1, a Medallion signature guarantee is required; don't sign below until you're in the presence of an authorized officer. *If you're a fiduciary (i.e., trustee, successor trustee, custodian, executor, authorized officer, attorney-in-fact, or agent), please sign in that capacity below.*

Read the instructions for this section carefully before signing. ➤

Signature of account owner or fiduciary <i>include title/capacity</i>	Date <i>mm/dd/yyyy</i>
Signature of account owner or fiduciary <i>include title/capacity</i>	Date <i>mm/dd/yyyy</i>

### Medallion signature guarantee

You can get a signature guarantee from an authorized officer of a bank, broker, and many other financial institutions. A notary public CANNOT provide a signature guarantee. ➤

Signature of authorized officer of guarantor	Guarantee stamp
Authorized officer's title	
Name of institution	
Date <i>mm/dd/yyyy</i>	



# Authorization to Deposit Stock and Bond Certificates

Effective October 2014

Use this form to deposit stock and bond certificates to a Vanguard Brokerage Account that isn't identically registered. You must also endorse the certificates.

If you've already sent us certificates that were either unsigned or not signed correctly, you must complete our Irrevocable Stock or Bond Power Form in addition to this form.

To prevent delay or loss and to ensure that your certificates are deposited correctly, follow the **Certificate endorsement instructions** and **Mailing information** on page 3.

Print in capital letters and use black ink.

**Questions?**  
**Call 800-662-2739.**  
 If you need other forms, go to [vanguard.com/serviceforms](http://vanguard.com/serviceforms).

## 1. Certificate registration

Provide the full name(s) exactly as listed on the certificate(s). >

	Name of owner <i>first, middle initial, last</i>
	Name of owner <i>first, middle initial, last</i>

## 2. Stock and/or bond description

If you need more space to list additional securities, provide the information on a separate sheet. >

Number of stock shares or bonds	Company name	CUSIP	Certificate number(s)
Number of stock shares or bonds	Company name	CUSIP	Certificate number(s)
Number of stock shares or bonds	Company name	CUSIP	Certificate number(s)
Number of stock shares or bonds	Company name	CUSIP	Certificate number(s)

### 3. Brokerage account registration

The owner(s) listed in Section 1 request(s) that the stocks and/or bonds listed in Section 2 be transferred to:

Name of owner <i>first, middle initial, last</i>
Name of joint owner <i>first, middle initial, last</i>
Vanguard Brokerage Account number

### 4. Authorization of all certificate owners

**Important:** You must obtain a Medallion signature guarantee; don't sign below until you're in the presence of an authorized officer.

Read the points in this section carefully. By signing, you acknowledge that:

- You hereby irrevocably relinquish all rights, title, and interest to the assets listed on the previous page.
- You hereby acknowledge that you have read and understand the terms of this request to transfer assets and that the terms of this request are binding regardless of any other agreement(s) between you and the recipient(s) of these assets.
- You hereby release and discharge Vanguard Marketing Corporation, its affiliates, and their respective employees and agents from any liability or claims in connection with the instructions in this form and agree to indemnify and hold Vanguard Marketing Corporation and them harmless against loss from any action, claim, or demand of any person based on Vanguard Marketing Corporation acting under these instructions.

Sign here exactly as your name is printed in Section 1. ➤

Signature of certificate owner X	Date <i>mm/dd/yyyy</i>
Signature of certificate owner X	Date <i>mm/dd/yyyy</i>

#### Medallion signature guarantee

You can get a Medallion signature guarantee from an authorized officer of a bank, a broker, and many other financial institutions. A notary public CANNOT provide a signature guarantee. ➤

Authorized officer's title	Signed guarantee stamp <i>must be original and state "Medallion guaranteed"</i>
Name of institution	
Date <i>mm/dd/yyyy</i>	

## Certificate endorsement instructions

For Value Received \_\_\_\_\_ **[Leave this space blank.]** hereby sell, assign, and transfer unto

PLEASE INSERT SOCIAL SECURITY OR OTHER IDENTIFYING NUMBER OF ASSIGNEE

\_\_\_\_\_ **[Leave this space blank.]**

PLEASE PRINT OR TYPE NAME AND ADDRESS, INCLUDING ZIP CODE, OF ASSIGNEE

\_\_\_\_\_ **[Leave this space blank.]**

\_\_\_\_\_ **[Leave this space blank.]**

shares of the common stock represented by the within Certificate and do hereby irrevocably constitute and appoint

**1 [Fill in "Vanguard Brokerage Services"]**

Attorney to transfer the said stock on the books of the within-named Corporation with full power of substitution in the premises.

Dated \_\_\_\_\_ **2 [Fill in current date.]**

Signature \_\_\_\_\_ **3 [Sign your name exactly as it appears on the face of the certificate. All owners must sign.]**

**4 [Record your Vanguard Brokerage Account number on the front of the certificate, in the upper right corner.]**

## Mailing information

Make a copy of your completed form and certificates for your records.

Mail pages 1 and 2 of this form and the endorsed stock/bond certificates by overnight delivery or by registered or certified mail (with return receipt requested) to:

Vanguard  
455 Devon Park Drive  
Wayne, PA 19087-1815

Amid all the “noise” in the marketplace about what you should and shouldn’t do to invest successfully, we believe the key is to pay attention to the few things that really matter: **low costs, diversification,** and a **long-term perspective.** Vanguard helps you stay focused on these essentials—and that can make a difference in your net investment results.



P.O. Box 1170  
Valley Forge, PA 19482-1170

**Connect with Vanguard®** > [vanguard.com](http://vanguard.com) > 800-992-8327

Vanguard Brokerage Services is a division of Vanguard Marketing Corporation, member FINRA and SIPC.